

Form PTO-1449 (modified)

Atty. Docket No.  
10830.0096.NPUS00Serial No.  
10/603,951

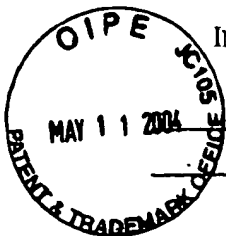
List of Patents and Publications for Applicant's

Applicant

Philippe Armangau, et al.

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:  
June 25, 2003Group:  
2184

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
VLW	A1	US 2004/ 0030951 A1	02/12/2004	Armangau et al.	714	6	8/6/2002
	A2	US 2004/ 0030846 A1	02/12/2004	Armangau et al.	711	154	8/6/2002
	A3	US 2004/ 0030727 A1	02/12/2004	Armangau et al.	707	200	8/6/2002
	A4	US 2003/ 0217119 A1	11/20/2003	Raman et al.	709	219	5/16/2002
	A5	6,549,992	4/15/2003	Armangau et al.	711	162	12/2/1999
	A6	5,870,764	02-1999	Lo et al.	707	203	03/12/1993
	A7	6,029,175	02-2000	Chow et al.	707	104	06/07/1996
	A8	5,873,116	02-1999	Van Fleet	711	147	11/22/1996
	A9	6,052,797	04-2000	Ofek et al.	714	6	08/20/1998
	A10	6,496,908 B1	12-2002	Kamvysselis et al	711	162	05/18/2001
VLW	A11	6,502,205 B1	12-2002	Yanai et al.	714	7	11/10/2000

## Other Art (Including Author, Title, Date, Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	

EXAMINER:

Lplanda L Wilson

DATE CONSIDERED:

2-22-06

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified)	Atty. Docket No. 10830.0096.NPUS00	Serial No. 10/603951
List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Applicant Armangau, et al..	
	Filing Date:	Group:

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
Y/LW	A1	6,434,681	08/13/2002	Armangau	711	162	12/02/1999
	A2	6,353,878	03/05/2002	Dunham	711	162	08/13/1998
	A3	6,101,497	08/08/2000	Ofek	707	10	04/25/1997
	A4	6,076,148	06/13/2000	Kedem	711	162	12/26/1997
	A5	6,035,412	03/07/2000	Tamer et al.	714	6	03/19/1997
	A6	5,974,563	10/26/1999	Beeler, Jr.	714	5	10/02/1998
	A7	5,901,327	05/04/1999	Ofek	395	825	03/17/1997
	A8	5,893,140	04/06/1999	Vahalia et al.	711	118	11/13/1996
	A9	5,835,953	11/10/1998	Ohran	711	162	11/08/1996
	A10	5,615,329	03/25/1997	Kern et al.	395	182.04	02/22/1994
	A11	5,596,706	01/21/1997	Shimazaki et al.	395	182.04	08/10/1994
Y/LW	A12	5,434,994	07/18/1995	Shaheen et al.	395	500	05/23/1994

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
Y/LW	B1	0 674 263 A1	09/27/95	EP	G06F	11/20	
Y/LW	B2	0 672 985 A1	09/20/95	EP	G06F	11/14	
	B3						

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
Y/LW ✓	C1	Uresh Vahalia, UNIX Internals: The new frontiers, Chapter 9, "File System Implementations," Prentice-Hall, Inc., Upper Saddle River, New Jersey, 1996, pp. 261-289.

EXAMINER:

Yolanda Wilson

DATE CONSIDERED:

2-22-06

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

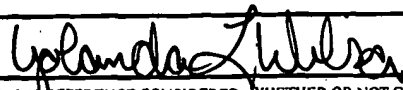
INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified)	Atty. Docket No. 10830.0096.NPUS00	Serial No.
List of Patents and Publications for Applicant's  INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)	Applicant Armangau, et al..	
	Filing Date:	Group:

### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
✓	C2	D.L. Burkes and R.K. Treiber, "Design Approaches for Real-Time Transaction Processing Remote Site Recovery," Digest of Papers in Spring COMPCON90, Feb. 26-March 2, 1990, <i>Thirty-Fifth IEEE Computer Society International Conference</i> , San Francisco, CA, pp. 568-572.
✓	C3	"Network Data Management Protocol (NDMP)," <a href="http://www.ndmp.org/info/">http://www.ndmp.org/info/</a> ; NDMP White Paper, <a href="http://www.ndmp.org/info/technology/wp.html">http://www.ndmp.org/info/technology/wp.html</a> ; "Protocol Specification Summary, Document Version: 1.7.2S," <a href="http://www.ndmp.org/info/spec_summary.html">http://www.ndmp.org/info/spec_summary.html</a> ; "Legato Systems Embraces the NDMP Standard: Legato Networker Software to be NDMP Compliant in Q3," <a href="http://www-ftp.legata.com/News/Press/PR209.html">http://www-ftp.legata.com/News/Press/PR209.html</a> ; published at least as early as 10/11/99, 17 pages.
✓	C4	R. Stager and D. Hitz, Internet Draft filename " <a href="#">draft-stager-iquard-netapp-backup-05.txt</a> ," <i>Network Data Management Protocol (NDMP)</i> , last update 10/12/99, pp. 1-73.
✓	C5	Koop, P., "Replication at Work. (four companies use Oracle and Sybase replication servers to solve business problems)," <i>DBMS</i> , vol. 8, No. 3, p. 54(4), March 1995.
✓	C6	Remote Mirroring Technical White Paper, Copyright 1994-2002 Sun Microsystems, published at least as early as May 17, 2002 at <a href="http://sun.com">sun.com</a> , 25 pages.
✓	C7	EMC TechNote: Celerra Replicator, EMC Corporation, Hopkinton, MA, Oct. 2002 (5 pages).
✓	C8	EMC TimeFinder Product Description Guide, EMC Corporation, Hopkinton, MA, 1998, pp. 1-31.
✓	C9	Leveraging SnapView/IP in Oracle8i Environments with the CLARiiON IP4700 File Server, Engineering White Paper, EMC Corporation, Hopkinton, MA, Feb. 13, 2002, pp. 1-16.
✓	C10	Using EMC CLARiiON FC4700 and SnapView with Oracle 8i, Engineering White Paper, EMC Corporation, Hopkinton, MA, March 4, 2002, pp. 1-22.
✓	C11	Disaster Recovery Guidelines for using HP SureStore E XP256, Continuous Access XP with Oracle Databases Rev 1.03, Hewlett-Packard Company, Palo Alto, CA, May 2000, pp. 1-28.
✓	C12	Enterprise Volume Manager and Oracle8 Best Practices, Compaq White Paper, Compaq Computer Corporation, Dec. 1999, pp. 1-11.
✓	C13	VERITAS Database Edition for Oracle, Guidelines for Using Storage Checkpoint and Storage Rollback with Oracle Databases, Veritas Software Corporation, Mountain View, CA, August 2001, pp. 1-16.
✓	C14	VERITAS Volume Replication and Oracle Databases, A Solutions White Paper, Veritas Software Corporation, Mountain View, CA, May 29, 2000, pp. 1-31.

EXAMINER:



DATE CONSIDERED:

2-22-06

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified)	Atty. Docket No. 10830.0096.NPUS00	Serial No.
List of Patents and Publications for Applicant's  INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)	Applicant Armangau, et al.	
	Filing Date:	Group:

### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
YLV	C15	Nabil Osorio and Bill Lee, Guidelines for Using Snapshot Storage Systems for Oracle Databases, Oracle Corporation, Oct. 2001, pp. 12.

EXAMINER:

Cplancha L W

DATE CONSIDERED:

2-22-06

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)